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TELECOPIER COVER SHEET

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From:

Fraser D. Roy

Comments:

Please see attached.

Docket No.:

16497.8.3.2.2

Serial No.:

10/081,723

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KCG000004660V001

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DEC 0.6 2005

PATENT Docket No. 16497,8.3.2.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In reapplication of | |) |
|---------------------|---|----------------------|
| | W. Martin Belef, et al. |) |
| Serial No.: | 10/081,723 | } |
| Filed: | February 21, 2002 |) Art Unit) 3731 |
| For: | APPARATUS AND METHODS FOR DELIVERING A CLOSURE DEVICE |) |
| Examiner: | Glenn K. Dawson | } |
| Patent No.: | 6,942,674 |) |
| Issue Date: | Suptember 13, 2005 |) |
| Confirmation No.: | 2945 |) |
| Customer No.: | 057360 |) |

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that the following documents are being facsimile transmitted to United States Patent and Trademark Office at Fax No. (517) 273-8300, on this _______, day of __________, 2005:

- Transmittal for Revocation and Substitute Power of Attorney and Change of Attorney Docket Number (1 page)
- Revocation and Substitute Power of Attorney with Exhibit A (10 pages)
- Change of Attorney Docket Number (2 pages)

Respectfully submitted

FRASER D. ROY Attorney for Applicants Registration No. 45,666 Customer No. 057360

KC0900605011V001

Rak D. Nymena . RIGHT P. LONDON THUMAS R VERSIONE'S LAMB R. LANGER JONAGIAN W. RICHARDS DAVID R. WREET BERNAL STREET, MAN JOHN M. GOYNEL CHARLES L. ROBBICS. DAMA L. TANGGLE FRIGA, MASCHOEL CHARLES L VIVI DKA Region L. Pintros. RECHARD C. CO MODEL SCHREING A. BRITISH N R. Binois Ithan der DAVID R. Touri DAVID B. DEREINING O L. Datob Савых» ADRIAN J. LITE

FRASER D. RUI Cup P. Reco

R PARDISTI PREPARAS, Inc. PRIEK E. MALEN, Ja. . L. RES STAIRS, POLIS WULIUM R. RICHTLE BOREST E. AND LAR JIMP C. JANKINS Mil Was, B. Prino KINDS W. STENERAL WHELLAN J. ATTEN HYAN D. BURSON SMILL D. JOHNS Table Parties. JEHNA I. JENSEN -MAZIERY D. Term L LAVAR CREMIAM . MKTIALL J. PROBISHING BRIETS A. FLANSIN BHUTT L. JOHNSON MACHINE A. BAIRCIE What ha C. Benamin's ANDREWS. HAMON CAMP E. NYDLLOTE JOSEMA G. Pra Catemat E. Inier. INAL N. PARE James B. Brising KORK K. HARRIS MR HEVE M. DALLARD DAMIA JONES SHAND K. JENSTH! JOHATHAN M. HINNS, PILFI. SCENE A. WELDINGE, . JOHN P. GADIN MARGEW, POINT AAROH M. SMILL

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PATENT Docket No. 16497.8.3.2.2

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application o | of | RECEI' CENTRAL FAX | |
|---------------------|---|-----------------------|--------|
| | W. Martin Belef, et al. | } DEC 0.6 | 2005 |
| Serial No.: | 10/081,723 |) | |
| Filed: | February 21, 2002 |) Art Unit) 3731 | |
| For: | APPARATUS AND METHODS FOR DELIVERING A CLOSURE DEVICE |) | · |
| Examiner: | Glenn K. Dawson |) | 1 |
| Patent No.: | 6,942,674 |)) | · } |
| Issuc Date: | September 13, 2005 |) | |

TRANSMITTAL FOR REVOCATION AND SUBSTITUTE POWER OF ATTORNEY AND CHANGE OF ATTORNEY DOCKET NUMBER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Confirmation No.:

Customer No.:

Sir:

Transmitted herewith for filing is a Revocation and Substitute Power of Attorney and Change of Attorney Docket Number. Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this day of December, 2005.

2945

057360

Respectfully submitted.

FRASER D. ROY Attorney for Applicants Registration No. 45,666 Customer No. 057360

" Adverged only in California \$ Admired only in Various

KC00000059117001

FILED VIA FACSIMILE

UEC 0 6 2005

PATENT

Docket No. 16497.8.3.2.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| in ic application of | |) |
|----------------------|---|----------------------|
| | W. Martin Belof, et al. |) |
| Serial No.: | 10/081,723 |) |
| Filed: | February 21, 2002 |) Art Unit) 3731 |
| For: | APPARATUS AND METHODS FOR DELIVERING A CLOSURE DEVICE |))) |
| Examiner: | Glenn K. Dawson |) |
| Patent No.: | 6,942,674 |) |
| · Issuo Dato: | September 13, 2005 |) |
| Confirmation No.: | 2945 |) |
| Customer No : | 057360 |) |

CHANGE OF ATTORNEY DOCKET NUMBER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For convenience and ready identification of the papers received in connection with the above-identified patent application, please reference in all future communications my Docket No. 16497.8.3.2.2.

All correspondence and telephonic communications should be directed to:

FRASER D. ROY 057360 Customer Number

Telephone: (801) 533-9800 Facsimile: (801) 328-1707 Patent No. 6,942,674 Page 2 of 2

Dated this 6 day of December , 2005.

Respectfully submitted,

FRASER D. ROY Attorney for Applicants Registration No. 45,666 Customer No. 057360

FDR kc KC0000005010V001

FILBD VIA FACSIMILE

DEC 0 6.2005

PATENT

Docket No. 16497,8.3.2.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of | |) |
|----------------------|---|----------------------|
| 1 | W. Martin Belef, et al. |) |
| Serial No.: | 10/081,723 |) |
| Filed; | February 21, 2002 |) Art Unit) 3731 |
| For: | APPARATUS AND METHODS FOR DELIVERING A CLOSURE DEVICE |))) |
| Examiner: | Glenn K. Dawson |) |
| Patent No.: | 6,942,674 |) |
| Issue Date: | September 13, 2005 |) |
| Confirmation No.: | 2945 |) |
| Customer No.: | 057360 |) |

REVOCATION AND SUBSTITUTE POWER OF ATTORNEY

VIA FACSIMILE (571) 273-8300 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned, Robert Hance, President of Integrated Vascular Systems, Inc., the assignee of the entire interest of the above-identified application, as shown by the assignment recorded in the United States Patent Office at Reel 013353, Frame 0631, a copy of said assignment is attached at Exhibit A hereto, hereby revokes all previous powers of attorney in the above-identified application, and now hereby appoints as my attorneys and/or patent agents all

attorneys and/or patent agents under Customer Number 057360, with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the Letters Patent, and to transact all business in the Patent and Trademark Office connected therewith.

Robert Hance further declares that all statements made herein of his own knowledge are true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

All correspondence and telephonic communications should be directed to:

Fraser D. Roy 057360 **Customer Number**

Telephone: (801) 533-9800 Facsimile: (801) 328-1707

All previous powers of attorney are hereby revoked.

November, 2005.

By:

Robert Hance, Presiden

100 Abbott Park Rd.

Abbott Park, IL 60064

FDR:ke

W.\16497\8.3.2.2\KC0000004949V001.doe

EXHIBIT A



JANUARY 14, 2003

ORRICK, HERRINGTON & SUTCLIFFE LLP JAMES W. GERTAK 4 PARK PLACE, SUITE 1600 IRVINE, CA 92614-2558 Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

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RECORDATION DATE: 10/03/2002

REEL/FRAME: 013353/0631

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DELEF, W. MARTIN

DOC DATE: 06/27/2002

ASSIGNOR:

ALDRICH, WILLIAM N.

DOC DATE: 06/27/2002

ASSIGNOR:

CARLEY, MICHAEL T.

DOC DATE: 06/27/2002

ASSIGNOR:

JABBA, RONALD J.

DOC DATE: 06/27/2002

ASSIGNOR:

SALMON, STEPHEN M.

DOC DATE: 06/27/2002

ASSIGNOR:

PANTAGES, ANTHONY

DOC DATE: 07/15/2002

ASSIGNOR:

SACASTEGUI, JAVIER

DOC DATE: 06/27/2002

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JAN 2 1 2003

013353/0631 PAGE 2

ASSIGNEE:

INTEGRATED VASCULAR SYSTEMS, INC. 743 PASTORIA AVENUE SUNNYVALE, CALIFORNIA 94086-2919

SERTAL NUMBER: 10081723

· PATENT NUMBER:

FILING DATE: 02/21/2002

ISSUE DATE:

TONYA LEE, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

| 10/5/02 | | | | |
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| FORM PTO-1595 1-31-92 | RECOR | r 10- | 08-2002 - | U.S. DEPARTMENT OF COMMERCE |
| Docket No. 704117. (262/280) | NE CON | | | Patent and Tradumark Office |
| To the Honorable Commission | er of Palents and | _ | ************************************** | documents or copy thereof. |
| 1. Name of conveying party | ies): | - 10. | 2244U (S) | |
| W. Martin Bolef, William N | I. Aldrich, Michael | T. Carley, | Namo: <u>Integrated Vascular</u> | |
| Ronald J. Jabba, Stepher and Javier Sagastegui | i M. Salmon, Anthe | ony Pantages, | | |
| Additional name(s) of con | vevino party(ies) a | ttached? | Internal Address: | |
| Yes 🖸 No | | | City: Supplycolo | State: <u>CA</u> Zip: <u>94086-2919</u> |
| 3. Nature of convoyance: | | | City, Sunityvale | State: <u>CA_</u> Zip: <u>94086-2919</u> |
| Assignment | Merger | | Street Address: 743 Pastor | ia Avenue |
| Security Agreement | Change Change | of Name | | |
| Other | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | | City: Sunnyvale | State: <u>CA</u> Zip: <u>94086-2919</u> |
| Execution Date: June 27, | 2002 & July 15, 20 | 002 | Additional name(s) & addres | s(es) attached? 🔲 Yes 🖾 No |
| 4.: Application number(s) or | patent number(s): | | | |
| If this document | is being filed toge | th er with a new ap | plication, the execution date of | the application is: |
| A. Patent Application No(s).: | 10/081,723 | | B. Patent No(s).: | |
| , | Add | itional numbers at | tached? 🔲 Yes 🔯 No | : |
| 5. Name and address of par | | pondence | 6. Total number of applica | ations and patents involved: ONE |
| concorning document sho | | | | <u> </u> |
| Name: Jamos W. Garial | • | | 7. Total fee (37 CFR 3.41) | |
| Internal Address: Orrick, | Herrington & St | steliffe II P | Enclosed with fees form. | on patent application transmittal |
| | face, Suite 1600 | | loim. | |
| Irvine, CA 92614-2558 | | | ☐ Charge this Depos | sit Account in the amount of \$40.00. |
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| James W. Geriak, Reg No. 20 | 233 | | Date: September 27, 2002 | |
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| 1000C, Washington, D.C. Washington, D.C. 20503 | 20231, and to the | Office of Manage | ment and Budget, Paperwork R | ice of Information Systems, PK2- Roduction Project, (0651-0011), |
| Transmigion, D.C. 20503 | | | | |

Patent

Attorney Docket: 262/280

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, WE, W. MARTIN BELEF, a citizen of UNITED STATES, WILLIAM N. ALDRICH, a citizen of UNITED STATES, MICHAEL T. CARLEY, a citizen of UNITED STATES, RONALD J. JABBA, a citizen of UNITED STATES, STEPHEN M. SALMON, a citizen of UNITED STATES, ANTHONY PANTAGES, a citizen of UNITED STATES, and JAVIER SAGASTEGUI, a citizen of UNITED STATES, (hereinafter referred to as "ASSIGNORS"), have invented a certain invention entitled APPARATUS AND METHODS FOR DELIVERING A CLOSURE DEVICE for which application for Letters Patent of the United States of America has been filed on February 21, 2002, assigned Serial No. 10/081,723; and

WHEREAS, INTEGRATED VASCULAR SYSTEMS, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware and having its place of business at 743 Pastoria Avenue, Sunnyvale, California 94086-2918 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the

Patent

Attorney Docket: 262/280

ASSIGNOR hereby authorizes and requests the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents or any legal equivalent thereof, to issue said patents to ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at Sunnyvale, California, this da

-day of June, 2002.

MARTIN BELEF

WITNESS my hand at Sunnyvale, California, this

day of Jung, 2002

WILLIAM N. ALDRICE

Patent Attorney Docket: 262/280

WITNESS my hand at Sunnyvale, California, this 27 day of June, 2002.

WITNESS my hand at Sunnyvale, California, this 27 day of June, 2002.

RONALD JABBA

WITNESS my hand at Sunnyvale, California, this 27 day of June, 2002.

STERIEN M. SALMON

WITNESS my hand at Sunnyvale, California, this 15 day of June, 2002.

ANTHONY PANY AGES

WITNESS my hand at Sunnyvale, California, this 27 day of June, 2002.

ANTHONY PANY AGES

WITNESS my hand at Sunnyvale, California, this 27 day of June, 2002.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as Pirst Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

September 27, 2002 Date of Deposit

me of Person Mailing Paper

PAGE 15/15 * RCVD AT 12/6/2005 3:50:35 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/40 * DNIS:2738300 * CSID:8013281707 * DURATION (mm-ss):04-58